



MARCH 12, 2001



023070-09912001  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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JS Mann



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 12/07/2000

REEL/FRAME: 011377/0445  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
MEARES, CLAUDE F.

DOC DATE: 11/27/2000

ASSIGNOR:  
CHMURA, ALBERT

DOC DATE: 11/27/2000

ASSIGNEE:  
REGENTS OF THE UNIVERSITY OF  
CALIFORNIA, THE  
1111 FRANKLIN STREET  
12TH FLOOR  
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09671953  
PATENT NUMBER:

FILING DATE: 09/27/2000  
ISSUE DATE:

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011377/0445 PAGE 2

STEVEN POST, EXAMINER

ASSIGNMENT DIVISION

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12-29-2000

ket No. 23070-099120

UC No.: 2000-123-3



FORM PTO-1595

(Rev. 6-93)

Recorda

(Patents Only)

101567168

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Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Claude F. Meares  
Albert ChmuraAdditional name(s) of conveying parties attached? ☐ Yes  
☒ No.

## 2. Name and address of receiving party(ies)

Name: The Regents of the University of California .

Internal Address: 12<sup>th</sup> Floor

Street Address: 1111 Franklin Street

City: Oakland State: California ZIP: 94607-5200

Additional names and addresses attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other:

Execution Dates: November 27, 2000

## 4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/671,953

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*

Jeffry S. Mann

Name of Person Signing

Atty Reg. No. 42,837

Signature

Date

12/4/00

Total number of pages including cover sheet, attachments and document 2

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

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SF 1165581 v1

JOINT

ASSIGNMENT OF PATENT APPLICATION

U.C. Case No. 2000-123-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Claude F. Meares                      2. Albert Chmura

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

ENGINEERING ANTIBODIES THAT BIND IRREVERSIBLY

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors  
(b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above-named persons as inventors  
(c) X U.S. application serial no. 09/671,953, filed on September 27, 2000  
(d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

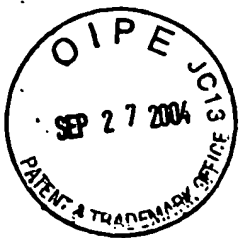
AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

27 day of November, 2000

27 day of November, 2000

Signature of Inventor(s)  
Claude F. Meares  
Claude F. Meares  
Albert Chmura  
Albert Chmura



061818-501405

Attorney Docket No. 023070-099120US

Client Ref. No. 2000-123-3

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)Applicant: Claude F. Meares, et al.Application No.: 09/671,953Filed: September 27, 2000For: ENGINEERING ANTIBODIES THAT BIND IRREVERSIBLYThe Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame(s) \_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: November 28, 2000Name: Linda S. StevensonTitle: Manager, Patent ProsecutionSignature: Linda S. Stevenson

rev. 4/99

SF 1162348 v1